

IiAS SUSTAIN POLICY AND PROCESS: CONFIDENTIALITY POLICY

PURPOSE

IiAS Sustain is a SEBI-registered Category II ESG Rating Provider and operates on a 'subscriber-pays' model. The purpose of this policy is to ensure all employees and representatives of IiAS Sustain protect the confidentiality of information obtained during the course of their work

CONFIDENTIALITY OBLIGATIONS

- All ESG ratings provided by IiAS Sustain are based on public disclosures made by companies and publicly available information. IiAS does not rely on confidential or non-public or unpublished information to provide its ratings.
- If IiAS Sustain inadvertently obtains any non-public information relating to a rated entity, the entity will be placed on a grey list. IiAS Sustain will, in parallel, request the entity to make the information public through an appropriate formal disclosure process.
- All Confidential Information must be treated with the highest degree of care and must only be used for legitimate business purposes. IiAS Sustain's employees shall not disclose, share, copy, or discuss Confidential Information with anyone outside IiAS Sustain unless expressly authorized or legally required.
- IiAS Sustain takes reasonable precautions to prevent unauthorized access or disclosure, including secure handling, password protection, and discretion in communication.
- For the purposes of this policy, '**Confidential Information**' means and includes any non-public, proprietary, or sensitive information related to IiAS Sustain, its rated entities, clients, subscribers, business partners, methodologies, data, internal processes, or any other material not in the public domain.

ABOUT THE POLICY

- This policy is effective from 11 Nov 2025 and remains valid unless amended.
- There are no prior versions / revisions of this policy.